

IRA and ESA Transfer Form



Use this form to move Individual Retirement Account and Coverdell Education Savings Account assets now held at a different custodian to Homestead Funds. **If you are transferring assets to a new Homestead Funds account, also complete and return an account application.** Please print neatly in blue or black ink. If you have a question about the Transfer Form, call us at **1-800-258-3030**.

Return your completed Transfer Form to Homestead Funds using the postage-paid envelope provided, or mail it to:

REGULAR MAIL

Homestead Funds
c/o BFDS
P.O. Box 219486
Kansas City, MO 64121-9486

OVERNIGHT MAIL

Homestead Funds
c/o BFDS
330 W. 9th Street, 1st Floor
Kansas City, MO 64105-1514
Attn: Shareholder Services

! Be sure to sign your completed form (section 5).

1. Account Information

Tell us how the account you are transferring from is registered. The account you are transferring to must be registered to the same individual (same name and Social Security Number) and classified as the same account type as the account you are transferring from.

First Name	Middle Initial	Last Name
Social Security Number		
Street Address		
City	State	ZIP
Account Number (if a Certificate of Deposit, also write in maturity date)		

Account type. Check one:

- Traditional IRA
- Roth IRA
- Inherited Traditional IRA
- Inherited Roth IRA
- SIMPLE IRA (Can be transferred only to a Traditional IRA)
- SEP IRA
- Rollover IRA (Check this box to transfer assets previously rolled to an IRA from an employer-sponsored retirement plan. Do not use this form to initiate a direct rollover from a qualified plan.)
- Roth Conversion IRA (Check this box to transfer assets previously converted to a Roth IRA. Do not use this form to initiate a Roth conversion.)
- Education Savings Account

2. Current Custodian

Tell us the name of the mutual fund company, bank, savings and loan or other entity now serving as custodian.

Mutual Fund or Other Financial Institution Company Name		
Street Address		
City	State	ZIP
Phone Number (if known)	Account Representative or Broker (if known)	

3. Transfer Amount

Write in the amount you are transferring from your current account to your Homestead Funds account. If you are transferring assets to a new Homestead Funds account, also complete and return an **IRA or ESA** account application.

Check one:

- All
- Portion: \$ _____ or _____%
- Transfer in kind. Not common. These are transfers to/from omnibus accounts to/from IRA or ESA accounts already invested in Homestead Funds. If you check this box, skip section 4. Your current investment allocations will be preserved.

Indicate whether you want your current custodian to sell Certificates of Deposit (CDs) now or at maturity.

Check one (if applicable):

- Liquidate immediately (for CDs only).** If transferring CDs prior to maturity, you may incur a penalty for early withdrawal.
- Liquidate at maturity (for CDs only).** If transferring CDs at maturity, maturity date must be within 60 days of this request. If maturity date is less than 15 days, you may also want to contact your current custodian to prevent automatic reinvestment of the account.

4. Fund Selections

Tell us how the amount you are transferring should be allocated by fund. If transferring to a new account, write "NEW" under the heading Account Number and return your Transfer Form with a completed account application.

Fund Name	Account Number	Investment Allocation	
Daily Income (168)	_____	\$_____	or _____%
Short-Term Gov. (170).....	_____	\$_____	or _____%
Short-Term Bond (172)	_____	\$_____	or _____%
Stock Index (174).....	_____	\$_____	or _____%
Value (176)	_____	\$_____	or _____%
Small-Company (178)	_____	\$_____	or _____%
International Value (180).....	_____	\$_____	or _____%
Growth (182)	_____	\$_____	or _____%
Total		\$_____	or 100%

 **Be sure to sign this form. We cannot act on your instructions without your signature.**

5. Signature

I authorize the transfer of assets to a Homestead Funds IRA or Education Savings Account and authorize Homestead Funds and State Street Bank and Trust Company to process this request on my behalf. **Please check with your current custodian to see if a signature guarantee is required. If so, see section 6.**

▶ **X** _____
Signature of Account Owner (Parent or Guardian if an Education Savings Account*) Date

* Student may sign only if he or she has reached the age of majority under the laws of student's state of residence and has advised the custodian in writing that he or she has assumed sole responsibility to exercise all powers and duties associated with the administration of the account.

6. Medallion Stamp Signature Guarantee

Medallion Stamp Signature Guarantees are required for some types of financial transactions. We do not require a signature guarantee if transferring assets to Homestead Funds; however, the releasing custodian may. This stamp can be obtained from any eligible guarantor, including banks, broker/dealers and credit unions.

Financial Institution: Affix stamp here.

Instructions for Resigning Custodian

Homestead Funds custodian will complete this acceptance agreement. As custodian for Homestead Funds IRA and Education Savings Account, State Street Bank and Trust Company accepts the transfer requested above. Please prepare a check, made payable to State Street Bank and Trust Company, FBO (shareholder's name, specifying either an IRA or Education Savings Account and account number) for the liquidation amount requested. Please mail a copy of this Transfer Form with the check.

Send to: Homestead Funds
IRA/Education Savings Account
c/o BFDS
P.O. Box 219486
Kansas City, MO 64121-9486

Overnight deliveries to: Homestead Funds
IRA/Education Savings Account
c/o BFDS
330 W. 9th Street, 1st Floor
Kansas City, MO 64105-1514

For Bank Use Only

Authorized Custodial Signature

Date